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PTO/SB/21 (6/98)

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Sector A

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in this Submission:

Application No.	09/931,476
Filing Date	August 16, 2001
First Named Inventor	Andrew A. Poggio
Group Art Unit	2661
Examiner Name	Unassigned
Attorney Docket No.	SUN-P5933-NAK

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee attached
<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavit/Declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts Notice/ Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers for an application
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney by Assignee, with Revocation of Former Powers
<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After-Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s):
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Check for \$ 170.00
<input type="checkbox"/> _____ |
|---|--|--|

Remarks:

In response to the Notice to File Missing Parts mailed on September 28, 2001, enclosed are signed Declarations/Powers of Attorney by the inventors and assignment with recordation cover sheet.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

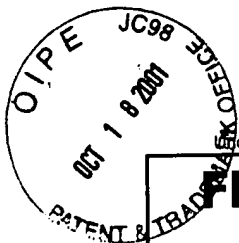
Name	Daniel E. Vaughan (Registration No. 42,199)	Date	October 10, 2001
Signature	<i>Daniel E. Vaughan</i>	Telephone	650/474-1973
Address	702 Marshall Street, Suite 310, Redwood City, CA 94063	Facsimile	650/474-1976

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as ☐ Express Mail (No. EL xxx yyy zzz US) or ☒ First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: October 10, 2001

Type or Printed Name	Daniel E. Vaughan	Signature	<i>Daniel Vaughan</i>
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Compleat if Known	
		Application Number	09/931,476
		Filing Date	August 16, 2001
		First Named Inventor	Andrew Poggio
		Examiner Name	
		Group Art Unit	2661
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	SUN-P5933-NAK
		(\$)	170.00

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number <u>50-1801</u> Deposit Account Name <u>Park, Vaughan & Fleming LLP</u> <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Description Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other Order		105 130 205 65 Surcharge - late filing fee or oath	
FEE CALCULATION		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Description Fee Paid		139 130 139 130 Non-English specification	
101 710 201 355 Utility filing fee		147 2520 147 2520 For filing a request for <i>ex parte</i> reexamination	
106 320 206 160 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 490 207 245 Plant filing fee		113 1840* 113 1840* Requesting publication of SIR after Examiner action	
108 710 208 355 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 150 214 75 Provisional filing fee		116 390 216 195 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 890 217 445 Extension for reply within third month	
2. EXTRA CLAIM FEES Total Claims <u> </u> -20** = <u> </u> X <u>18</u> = <u> </u> Independent Claims <u> </u> -3** = <u> </u> X <u>80</u> = <u> </u> Multiple Dependent <u> </u> = <u> </u> **or number previously paid, if greater; For Reissues, see below		118 1390 218 695 Extension for reply within fourth month	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Description Fee Paid		128 1890 228 945 Extension for reply within fifth month	
103 18 203 9 Claims in excess of 20		119 310 219 155 Notice of Appeal	
102 80 202 40 Independent claims in excess of 3		120 310 220 155 Filing a brief in support of an appeal	
104 270 204 135 Multiple dependent claim, if not paid		121 270 221 135 Request for oral hearing	
109 80 209 40 ** Reissue independent claims over original patent		138 1510 138 1510 Petition to institute a public use proceeding	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		140 110 240 55 Petition to revive - unavoidable	
SUBTOTAL (2) (\$)		141 1240 241 620 Petition to revive - unintentional	
		142 1240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		* Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$) 170.00	

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199	Telephone	650/474-1973
Signature				Date	October 10, 2001

WARNING:
Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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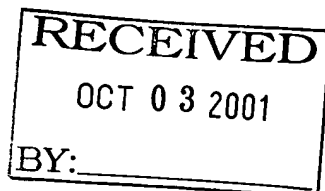


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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,476	08/16/2001	Andrew A. Poggio	SUN-P5933-NAK

22200
PARK, VAUGHAN & FLEMING LLP
702 MARSHALL STREET
SUITE 310
REDWOOD CITY, CA 94063



CONFIRMATION NO. 2964

FORMALITIES LETTER



OC000000006807680

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/19/2001 BABRAHA1 00000082 09931476

01 FC:105

130.00 DP